



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Small et al.  
Title: Compositions for Chemical Mechanical Planarization of Copper  
Serial No.: 10/017,934 Filed: December 12, 2001  
Examiner: Umez Eronini, Lynette T. Group Art Unit: 1765  
Docket No.: CHEM.001US0 Conf. No.: 1435

Certificate of Mailing Under 37 CFR 1.8

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Signature Gulien Bow

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Commissioner for Patents  
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Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(c)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of the documents are enclosed.

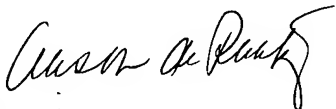
Listing of these documents shall not be construed as:

1. an admission that any of these documents is necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or

3. an admission that information in any of these documents is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(c). No fee should be required because, in accordance with § 1.97(e)(1), each item contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

Respectfully submitted,



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| U.S. Department of Commerce, Patent and Trademark |  |  |  | Atty. Docket No.  |  |  | Application No. |  |
| INFORMATION DISCLOSURE STATEMENT BY<br>APPLICANT  |  |  |  | CHEM.001US0       |  |  | 10/017,934      |  |
|   |  |  |  | Applicant(s)      |  |  | Conf. No.       |  |
| (Use several sheets if necessary)                 |  |  |  | Small et al.      |  |  | 1435            |  |
|   |  |  |  | Filing Date       |  |  | Group           |  |
|   |  |  |  | December 12, 2001 |  |  | 1765            |  |

| U.S. Patent Documents |  |                 |      |      |       |          |                            |
|-----------------------|--|-----------------|------|------|-------|----------|----------------------------|
| *Examiner Initial     |  | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|                       |  |                 |      |      |       |          |                            |
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| U.S. Published Patent Application Documents |  |                 |      |      |       |          |                            |
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| *Examiner Initial                           |  | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|   |  |                 |      |      |       |          |                            |
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| Foreign Patent Documents |  |          |      |         |       |          |             |    |
|--------------------------|--|----------|------|---------|-------|----------|-------------|----|
|                          |  |          |      |         |       |          | Translation |    |
|                          |  | Document | Date | Country | Class | Subclass | Yes         | No |
|                          |  |          |      |         |       |          |             |    |

| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) |  |  |
|--|--|--|
|  |  | International Preliminary Examination Report, mailed June 3, 2004, in International Application No. PCT/US02/39080 of EKC Technology, Inc. |
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| Examiner | Date Considered |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.